9.20.02771

SCD 1 6 2000 CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231, on September , 2002.

Signature: Karena MonBrom

Karena J. Moy-Brown

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MOHAN et al.

Serial No. 10/087,053

Filed: March 1, 2002

For:

CONCEPT-BASED METHOD AND SYSTEM

FOR DYNAMICALLY ANALYZING UNSTRUCTURED INFORMATION

Examiner:

Not Yet Assigned

Group Art Unit: 2171

RECEIVED

SEP 1 8 2002

INFORMATION DISCLOSURE STATEMENT SUBMITTED PRIOR TO THE FIRST OFFICIAL ACTION

Technology Center 2100

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicant submits herewith patents and publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. While this Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and publications is shown on enclosed PTO Form 08A and a copy of each patent and publication is also enclosed.

This information disclosure statement is being filed in compliance with 37 CFR 1.97(b)(3) as being filed before the mailing date of the first office action on the merits.

This information disclosure statement is being filed in compliance with 37 CFR 1.34(a) giving the undersigned attorney authorization to represent said party.

Respectfully submitted

R. Michael Ananian Registration No. 35,050

DORSEY & WHITNEY LLP Suite 3400, Four Embarcadero Center San Francisco, California 94111-4187

Telephone: (650) 494-8700 Facsimile: (650) 494-8771

1046442

Please type a plus sign (+) inside this box → □+

PTO/SB/8A (08-00)

Approved for use through 10/31/2002. OMB 06519031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE STATEMENT BY APPI (use as many sheets as neces Application Number 10/087,053 Filing Date March 1, 2002 First Named Inventor MOHAN et al. 2171 Group Art Unit Examiner Name To Be Assigned A-71011/RMA (@Chnology Contor 21 pp Sheet 1 of Attorney Docket Number U.S. PATENT DOCUMENTS Date of Publication of Pages, Columns, Lines, Where Relevant Examiner Cite U.S. Patent Document Name of Patentee or Applicant of Cited Document Cited Document Passages or Relevant Figures Appear Initials* No. MM-DD-YYYY Number Kind Code (if known) CAID et al. 04-08-97 Αl 5,619,709 B1 5,768,578 KIRK et al. 06-16-98 CI 5,832,470 MORITA et al. 11-03-98 5,933,822 BRADEN-HARDER et al. 08-03-99 Di LANG et al. 11-09-99 El 5,983,214 FI 6,006,221 LIDDY et al. 12-21-99 G1 6,026,388 LIDDY et al. 02-15-00 LANG et al. 02-22-00 6,029,161 HI 6,038,561 SNYDER et al. 03-14-00 Ϊl Bl AGRAWAL et al. 05-15-01 J1 6,233,575 HOROWITZ et al. 6,236,987 BI 05-22-01 PAIK et al. 07-17-01 LI 6,263,335 BI FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, Where Name of Patentee or Applicant Date of Publication of Foreign Patent Document Examiner Cite Initials* No.1 of Cited Document Cited Document Relevant Passages or Relevant MM-DD-YYYY Figures Appear Kind Code² Office3 Number⁴ (if known) MI OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the TRANSLATION *Examine Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials number(s), publisher, city and/or country where published YES NO N1 Date Considered **Examiner Signature** 1046442